PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/615,451 RANSMITTAL Filing Date July 8, 2003 FORM. First Named Inventor Colin Regan Art Unit 3745 **Examiner Name** Christopher M. Verdier ised for all correspondence after initial filing) Attorney Docket Number 012861-000021 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Petition Amendment / Reply Appeal Communication to Group After Final Petition to Convert to a (Appeal Notice, Brief, Reply Brief) Provisional Application Affidavits/declaration(s) Proprietary Information Power of Attorney, Revocation Extension of Time Request Status Letter Change of Correspondence **Express Abandonment Request** Address Other Enclosure(s) (please identify below): **Terminal Disclaimer** Supplemental Information Copies of 4 foreign patent Disclosure Statement Request for Refund publications; and **Acknowledgement Postcard** CD, Number of CD(s)\_ Certified Copy of Priority Documents Landscape Table on CD Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **MOORE & VAN ALLEN** Signature **Printed Name** JENNIFER L. SKÓRD Date Reg. No. 30,687 November 30, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

November 30, 2005

Signature

Typed or printed name

Katrina Holland

190

PTO/SB/17 (12-04)

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PADEMAN Effective on 12/08/2004.				Complete if Known				
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Num	ber	10/615,451		
FEE TR	<b>ANSI</b>	MITT/	AL I	Filing Date	$\longrightarrow$	July 8,	2003	
			`-	First Named Inve	entor	Colin Regan		
For FY 2005				Examiner Name		Christopher M. Verdier		
Applicant claims sm	nall entity status	. See 37 CFR 1	1.27	Art Unit	$\longrightarrow$	3745		
TOTAL AMOUNT OF P	AYMENT (\$	3) 180.00		Attorney Docket	No.	012861	1-000021	
METHOD OF PAY	MENT (check	all that apply)						
Check Cred	it Card 🔲 l	Money Order	Nor	ne Other (	please ide	ntify):		-
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Charge fee(s)	Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee							
Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17								
WARNING: Information on tinformation and authorization		ome public. Credi	t card inform	ation should not be in	ncluded o	n this form	n. Provide cred	lit card
FEE CALCULATION								
1. BASIC FILING, SE						*** * 4 ***		
		G FEES Small Entity	SEA	RCH FEES Small Entity	EXAM		ON FEES	
Application Type Utility	Fee (\$) 300	Fee (\$) 150	Fee (\$) 500		Fee (\$	<u>\$)</u>	Fee (\$) 100	Fees Paid (\$)
Design	200	100	100	50	130		65	
Plant	200	100	300	150	160		80	
Reissue	300	150	500	250	600		300	
Provisional	200	100	0	0	0		0	
2. EXCESS CLAIM FEES Fee Description Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent  Small Entity Fee (\$) 50 25								
Each independent claim	n over 3 or, for I	Reissues, each	independer	nt claim more than	in the o	riginal p	patent 200	
Multiple dependent claims  Total Claims  Extra Claims  Fee (\$)  - 20 or HP = x = =			Fee Paid (\$)		tiple Der	360 <u>cendent Cla</u> <u>Fee Paid</u>	<u>ims</u>	
	Extra C r HP =	Claims F	ee (\$)	Fee Paid (\$)				
HP = highest number o	f independent clai	ms paid for, if gre	ater than 3					
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)  for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets  - 100 = / 50 = (round up to a whole number) x = Fee Paid (\$)								
4. OTHER FEE(S)  Fee Paid (\$)								
Non-English Specification, \$130 fee (no small entity discount)								
Other: Requisite Fee for filing Supplemental Information Disclosure Statement 180.00								
SUBMITTED BY			,					
Signature	1. 185	16	Regis	tration No.			Telephone	919/286-8000

(Attomey/Agent) 30,687 Jennifer L. Skord Date 11/30/2005 Name (Print/Type)

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Attorney Docket: 012861-000021



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Colin Regan, et al.

Group Art Unit: 3745

Serial Number: 10/615,451

Examiner: Christopher M. Verdier

Filed: July 8, 2003

For: APPARATUS AND METHOD FOR GENERATING POWER FROM MOVING WATER

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

Customer No.: 24,239

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97

It is respectfully requested that the documents listed on the attached Form PTO/SB/08a be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. Full text copies of the relevant foreign patent publications are enclosed. The requisite fee of \$180.00 pursuant to CFR §1.17(p) is enclosed herewith. The Commissioner is hereby authorized to debit any extra amount owed or to credit any extra amount paid to **Deposit Account No. 13-4365**.

Respectfully submitted,

By:

Registration No. 30,687 Attorney for Applicants Moore & Van Allen, PLLC 430 Davis Dr., Suite 500

Morrisville, NC 27560-6832 Phone: 919-286-8000

Facsimile: 919-286-8199

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## **CERTIFICATE OF MAILING**

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Katrina Holland

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Substitute for form 1449A/PTO

Sheet

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

Application Number 10/615,451

Filing Date July 8, 2003

First Named Inventor Colin Regan

Art Unit 3745

Examiner Name Christopher M. Verdier

Attomey Docket Number 012861-000021

U.S. PATENT DOCUMENTS						
Examiner Cite Initials' No.1		Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	US-		Document			
		US-				
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Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
	B1	DE 3408959 A1	09/12/1985	Schoepflin	,	Х
	B2	EP 0 045 353	02/10/1982	Martinez		X
	В3	DE 4112730 A1	08/27/1992	Riedel		X
	B4	DE 4026638 A1	02/27/1992	Gondolf		

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant: 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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